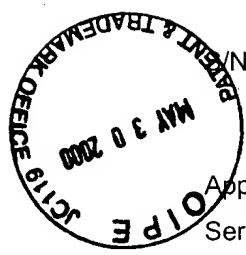


GAU2731
PATENT



N 09/390910

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pan, Jin-Yi Examiner: Unknown
Serial No.: 09/390910 Group Art Unit: 2731
Filed: 9/7/99 Docket No.: 552.111US01
Title: SYSTEM AND METHOD FOR FULLY UTILIZING AVAILABLE OPTICAL TRANSMISSION SPECTRUM IN OPTICAL NETWORKS

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on MAY 25, 2000

Steven R. Funk
Name

Signature

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Assistant Commissioner for Patents
Washington, D.C. 20231

- ☒ Information Disclosure Statement, Form 1449, copies of 12 reference(s)
- ☒ Transmittal Sheet
- ☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,
Altera Law Group, LLC
10749 Bren Road East, Opus 2
Minneapolis, MN 55343
952-912-0541

Date: 5/25/2000

By:
Steven R. Funk
Reg. No. 37,830

SRF/kmw



09/390910

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Pan, Jin-Yi	Examiner:	Unknown
Serial No.:	09/390910	Group Art Unit:	2731
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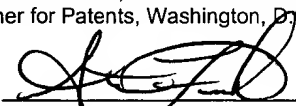
TC 2700 MAIL ROOM

MAY 31 2000

RECEIVED

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Steven R. Funk
Name


Signature

Information Disclosure Statement
Under 37 C.F.R. §1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d). Dates identified with "date printed" reflect the date to which the document was printed from the

Internet site identified on the PTO-1449 form, and the actual publication date is unknown.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

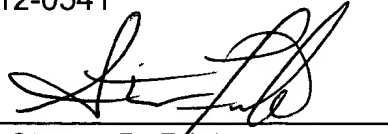
Respectfully submitted,

Altera Law Group, LLC
10749 Bren Road East, Opus 2
Minneapolis, MN 55343
952-912-0541

Date: _____

5/25/00

By: _____



Steven R. Funk
Reg. No. 37,830

SRF/kmw/tmj